

## GOVERNANCE AND AUDIT COMMITTEE

Decision Notice – Hybrid Meeting, 18<sup>th</sup> July, 2024.

The Committee agenda is available [here](#).

The recording of the meeting is available [here](#).

Present: G. Chapman (Chair and Lay Member); N. Ireland (Vice-Chair and Lay Member); Councillors P. Drake, E. Goodjohn, M.J. Hooper, J.M. Norman, J. Protheroe and N.J. Wood; and M. Evans (Lay Member)

### AGENDA ITEM 2. MINUTES –

RESOLVED – T H A T the minutes of the meeting held on 17<sup>th</sup> June, 2024 be approved as a correct record.

### AGENDA ITEM 3. DECLARATIONS OF INTEREST –

No declarations of interest were received.

### AGENDA ITEM 4. VALE OF GLAMORGAN COUNCIL ANNUAL AUDIT SUMMARY 2023 (REF) –

RESOLVED – T H A T the contents of the Vale of Glamorgan Council Annual Audit Summary 2023 be noted and it be highlighted that Audit recommendations around the governance of the Planning Department were due to be referred back to Cabinet and the Governance and Audit Committee.

#### Reason for decision

Having regard to the contents of the report and discussions at the meeting.

### AGENDA ITEM 5. CORPORATE RISK: QUARTER 4 UPDATE, PROPOSED NEW RISK REGISTER AND REVISED RISK MANAGEMENT POLICY (CX) –

RESOLVED –

(1) T H A T the Quarter 4 position of the Corporate Risk Register as outlined in Annex A to the report be noted.

(2) T H A T the proposed new Corporate Risk Register and Risk Management Policy be endorsed.

(3) T H A T Cabinet be advised of the views of the Governance and Audit Committee. The views being:

- That procurement should be included as a stand-alone risk.
- Cabinet be asked to reconsider the status of Project Zero and whether it warranted a catastrophic status.
- For the Social Care risk to be assessed as to whether one overall risk is appropriate.
- For further consideration around the definition of risk regarding cause and effect as related to paragraph 2.1 of the new Policy.

Reason for decisions

(1-3) Having regard to the contents of the report and discussions at the meeting.

AGENDA ITEM 6.                   UNAUDITED STATEMENT OF ACCOUNTS 2023/24  
(CX) –

RESOLVED –

(1) T H A T the unaudited Vale of Glamorgan Council's Statement of Accounts for 2023/24 be noted and any comments of Members of the Committee be referred to the Head of Finance as Section 151 Officer before 31<sup>st</sup> July, 2024.

(2) T H A T the unaudited Joint Committee Shared Regulatory Services Statement of Accounts for 2023/24 and the Shared Regulatory Services Annual Governance Statement be noted as any comments of Members of the Committee be referred to the Head of Finance as Treasurer of the Joint Committee before 31<sup>st</sup> July, 2024.

(3) T H A T the unaudited Vale, Valleys and Cardiff Regional Adoption Service annual return for 2023/24 be noted and any comments of individual Members of the Committee be referred to the Head of Finance as Treasurer of the Joint Committee before 31<sup>st</sup> July, 2024.

(4) T H A T the contents of the Audit Plan 2024 be noted.

Reasons for decisions

(1-3) To allow for comments of individual Members of the Governance and Audit Committee to be considered by the Head of Finance.

(4) Having regard to the contents of the report and discussions at the meeting.

AGENDA ITEM 7. DRAFT ANNUAL GOVERNANCE STATEMENT 2023/24  
(HF/S151O) –

RESOLVED – T H A T the draft Annual Governance Statement for 2023/24 be recommended for adoption by the Leader and the Chief Executive, subject to consideration being given to the inclusion of further commentary in relation to the Oracle Financial System and in regards to Corporate Complaints.

Reason for decision

Having regard to the contents of the report and discussions at the meeting.

AGENDA ITEM 8. Q4 PROGRESS AGAINST EXTERNAL REGULATORY  
RECOMMENDATIONS AND PROPOSALS FOR IMPROVEMENT (DCR) –

RESOLVED –

(1) T H A T the Council's progress to date in addressing existing recommendations and improvement proposals made by the Council's external regulators as outlined in the appended End of Year Strategic Insight Board Insight Tracker, be noted.

(2) T H A T the comments of the Governance and Audit Committee be referred to Cabinet including its endorsement of the removal of completed actions from the Strategic Insight Board (SIB) Insight Tracker.

Reasons for decisions

(1) Having regard to the contents of the report and discussions at the meeting.

(2) To ensure that the Council's SIB Insight Tracker reflected the most up to date position on the Council's regulatory recommendations.

AGENDA ITEM 9. Q1 UPDATE: AUDIT WALES WORK PROGRAMME  
2024 – VALE OF GLAMORGAN COUNCIL (DCR) –

RESOLVED – T H A T the contents of the report be noted.

Reason for decision

Having regard to the contents of the report and discussions at the meeting.

AGENDA ITEM 10. ANNUAL CORPORATE FRAUD REPORT 2023-24  
(HRIAS) –

RESOLVED – T H A T the contents of the report, the measures in place and the work being undertaken to prevent and detect fraud and error be noted.

Reason for decision

Having regard to the contents of the report and discussions at the meeting.

AGENDA ITEM 11. UPDATE ON THE PROGRESS OF LIMITED ASSURANCE OPINIONS ISSUED BY INTERNAL AUDIT (HRIAS) –

RESOLVED – T H A T the progress report on action taken to address issues identified in limited assurance reports issued by Internal Audit be noted.

Reason for decision

Having regard to the contents of the report and discussions at the meeting.

AGENDA ITEM 12. FORWARD WORK PROGRAMME 2024/25 (HRIAS) –

RESOLVED –

- (1) T H A T the Forward Work Programme be noted.
- (2) T H A T the schedule of items for the next meeting on 23<sup>rd</sup> September, 2024 be endorsed.

Reason for decisions

(1&2) Having regard to the contents of the report and discussions at the meeting.